

## Lecture 14.1

Last time:

- We can do arithmetic with  $p$ -adic expansions of integers and rational numbers by treating them as:
  - polynomials in  $p$  (nonnegative integers)
  - rational functions in  $p$  (rationals)
  - power series in  $p$  (negative numbers).
- As a 5-adic number,

$$-1 = \sum_{i=0}^{\infty} 4 \cdot 5^i = \dots 444.$$

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let's reinterpret these  $p$ -adic expansions using modular arithmetic.

**[Ex]** Recall that the 5-adic expansion of  $-1$  was obtained by solving

$$x + 1 = 0$$

$p$ -adically, i.e. by comparing  $p$ -adic expansions and solving for the coefficients of  $x$ . Let's instead consider the system of congruences

$$x + 1 \equiv 0 \pmod{5}$$

$$x + 1 \equiv 0 \pmod{25}$$

$$x + 1 \equiv 0 \pmod{125}$$

$\vdots$

$$x + 1 \equiv 0 \pmod{5^n}$$

$$x + 1 \equiv 0 \pmod{5^n}$$

⋮

If  $x = \sum_{i=0}^{\infty} a_i 5^i$  is a 5-adic expansion

satisfying  $x + 1 = 0$ , then

$$x + 1 \equiv a_0 + 1 \equiv 0 \pmod{5}$$

$$x + 1 \equiv 5a_1 + a_0 + 1 \equiv 0 \pmod{25}$$

⋮

$$x + 1 \equiv \left( \sum_{i=0}^{n-1} a_i 5^i \right) + 1 \equiv 0 \pmod{5^n}$$

⋮

Set  $x_n = \sum_{i=0}^{n-1} a_i 5^i$ . Then each  $x_n$  is

a solution to  $x + 1 \equiv 0 \pmod{p^n}$

and  $x_n \equiv x_{n-1} \pmod{p^{n-1}}.$

Working up the chain of congruences, we see that

$$x + 1 \equiv a_0 + 1 \equiv 0 \pmod{5}$$

$$\Rightarrow a_0 \equiv 4 \pmod{5}$$

$$x + 1 \equiv 5a_1 + 5 \equiv 0 \pmod{25}$$

$$\Rightarrow a_1 \equiv 4, 9, 14, 19, 24 \pmod{25}$$

$$\Rightarrow a_1 \equiv 4 \pmod{5}$$

$$x + 1 \equiv 25a_2 + 25 \equiv 0 \pmod{125}$$

$$\Rightarrow a_2 \equiv 4, 9, \dots, 119, 124 \pmod{125}$$

$$\Rightarrow a_2 \equiv 4 \pmod{25}$$

etc.



**Exercise 1:** Use induction to confirm

that  $a_i \equiv 4 \pmod{5^{i-1}}$  for each  $i \geq 2$ .

This allows us to "lift" the sequence of residue classes  $(4 \pmod{5^i})$  to the coefficients of  $-1$ :

$$-1 = \dots + 4 \cdot 125 + 4 \cdot 25 + 4 \cdot 5 + 4 \cdot 1,$$

**[Def]** For a prime  $p$ , a **coherent sequence**

is a sequence of integers  $(x_n)_{n \geq 1}$

such that for each  $n \geq 1$ ,

$$(1) \quad 0 \leq x_n \leq p^n - 1$$

$$(2) \quad x_n \equiv x_{n-1} \pmod{p^{n-1}}.$$

Ex 1 Let's construct a coherent sequence of solutions to the equation

$$x^2 - 25 = 0$$

using mod  $3^n$  arithmetic:

$$x^2 - 25 \equiv 0 \pmod{3}$$

$$x^2 - 25 \equiv 0 \pmod{9}$$

$\vdots$

(Pretend you don't know the solutions are  $x = \pm 5$ .)

The first congruence becomes

$$x^2 \equiv 25 \pmod{3}$$

$$x^2 - 1 \equiv (x-1)(x-2) \equiv 0 \pmod{3}$$

which has solutions  $x \equiv 1, 2 \pmod{3}$ .

Next,

$$x^2 - 25 \equiv (x-5)(x-4) \equiv 0 \pmod{9}$$

which has solutions  $x \equiv 4, 5 \pmod{9}$ .

Notice that  $5 \equiv 2 \pmod{3}$

and  $4 \equiv 1 \pmod{3}$ ,

so we should start two separate coherent sequences:

$$x_1 = 2, \quad x_2 = 5$$

$$y_1 = 1, \quad y_2 = 4.$$

Next,  $x^2 - 25 \equiv (x-5)(x-22) \equiv 0 \pmod{27}$

has solutions  $x \equiv 5, 22 \pmod{27}$ , which  
fit into the existing sequences like this:

$$x_1 = 2, x_2 = 5, x_3 = 5$$

$$y_1 = 1, y_2 = 4, y_3 = 22.$$

Here are the fourth terms:

$$x_1 = 2, x_2 = 5, x_3 = 5, x_4 = 5$$

$$y_1 = 1, y_2 = 4, y_3 = 22, y_4 = 76.$$

To see where things are heading, let's  
reinterpret these 3-adically:

$$x_1 = 2 \cdot 1$$

$$x_2 = 1 \cdot 3 + 2 \cdot 1$$

$$x_3 = 1 \cdot 3 + 2 \cdot 1$$

$$x_4 = 1 \cdot 3 + 2 \cdot 1.$$

This pattern continues indefinitely (can you prove it rigorously?), so the coherent sequence  $(x_1, x_2, x_3, x_4, \dots) = (2, 5, 5, 5, \dots)$  can be said to "converge" to

$$\dots + 0 \cdot 27 + 0 \cdot 9 + 1 \cdot 3 + 2 \cdot 1 = 5,$$

one of the actual solutions to  $x^2 - 25 = 0$  !

What happens with the other sequence?

$$y_1 = 1 \cdot 1$$

$$y_2 = 1 \cdot 3 + 1 \cdot 1$$

$$y_3 = 2 \cdot 9 + 1 \cdot 3 + 1 \cdot 1$$

$$y_4 = 2 \cdot 27 + 2 \cdot 9 + 1 \cdot 3 + 1 \cdot 1,$$

In fact, the 2's repeat indefinitely from here, giving the 5-adic expansion

$$\dots + 2 \cdot 27 + 2 \cdot 9 + 1 \cdot 3 + 1 \cdot 1 = -5,$$

the other solution to  $x^2 - 25 = 0$  !

Exercise 2: Use induction to prove that

$$(x_1, x_2, x_3, x_4, \dots) = (2, 5, 5, 5, \dots)$$

$$\text{and } (y_1, y_2, y_3, y_4, \dots) = (1, 1, 2, 2, 2, 2, \dots).$$

Then show that the second sequence determines the 3-adic expansion of  $-5$ .

Exercise 3: Repeat the process for

(a)  $x^2 - 49 = 0$  with  $p = 5$

(b)  $x^3 - 27 = 0$  with  $p = 2$ .

There's nothing forcing us to use equations with integer solutions.

Ex ② let's solve  $x^2 + 1 = 0$  using 5-adic expansions.

$$x^2 + 1 \equiv (x - 2)(x - 3) \equiv 0 \pmod{5}$$

$$x^2 + 1 \equiv (x - 7)(x - 18) \equiv 0 \pmod{25}$$

$$x^2 + 1 \equiv (x - 57)(x - 68) \equiv 0 \pmod{125}$$

$$x^2 + 1 \equiv (x - 182)(x - 443) \equiv 0 \pmod{625}$$

etc.

The coherent sequences are

$$(x_1, x_2, x_3, x_4, \dots) = (2, 7, 57, 182, \dots)$$

$$(y_1, y_2, y_3, y_4, \dots) = (3, 18, 68, 443, \dots)$$

which correspond to the following 5-adic expansions:

$$(x_n) \longrightarrow \dots + 1 \cdot 125 + 2 \cdot 25 + 1 \cdot 5 + 2 \cdot 1 = \dots 1212$$

$$(y_n) \longrightarrow \dots + 3 \cdot 125 + 2 \cdot 25 + 3 \cdot 5 + 3 \cdot 1 = \dots 3233.$$

We might say  $\sqrt{-1} = \dots 1212$  as a 5-adic number and  $-\sqrt{-1} = \dots 3232$ .

In fact, there's no reason we can't switch them and call  $\sqrt{-1} = \dots 3232$

$$\text{and } -\sqrt{-1} = \dots 1212.$$

In any case, this suggests that there is

a 5-adic solution to



a 5-adic solution to

$$x^2 + 1 = 0.$$

Exercise 4: Why does  $x^2 + 1 \equiv 0 \pmod{5^n}$

have a solution for all  $n \geq 1$ ? Also,

show that our two 5-adic solutions above

satisfy  $x + y = 1$ .

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### p-adic Convergence

Question: What does it mean that a p-adic

expansion  $\sum_{i=-N}^{\infty} a_i p^i$  represents a number?

In calculus, we say a power series

$$f(x) = \sum_{n=0}^{\infty} a_n x^n$$

$$F(x) = \sum_{i=0}^{\infty} a_i x^i$$

converges at  $x = x_0$  if

$$\lim_{n \rightarrow \infty} \sum_{i=0}^n a_i x_0^i$$

exists, and we say the limit is  $F(x_0)$ .

In more detail, this says that for any possible discrepancy  $\varepsilon > 0$  between the partial sums and the target  $F(x_0)$ , there's some  $N$  so that for all  $n \geq N$ ,

$$\left| \sum_{i=0}^n a_i x_0^i - F(x_0) \right| < \varepsilon.$$

distance between  
nth partial sum  
and target  $F(x_0)$

**Goal:** Make a new definition of  $|\cdot|$

to make some  $p$ -adic power series

$$\sum_{i=-N}^{\infty} a_i p^i$$

converge.

the ones coming from  
coherent sequences

We do this in two steps.

**Def** Fix a prime  $p$ . For an integer  $a \neq 0$ ,  
write  $a = p^n b$  where  $n \geq 0$  and  $p \nmid b$ .

The  $p$ -adic valuation of  $a$  is  $n$ , written

$$v_p(a) = n.$$

For rational numbers  $\frac{a}{b} \neq 0$ , we extend  
the definition by

$$v_p\left(\frac{a}{b}\right) = v_p(a) - v_p(b)$$

$$v_p\left(\frac{a}{b}\right) = v_p(a) - v_p(b).$$

Also, set  $v_p(0) = \infty$ .

**Exercise 5:** Prove that if  $\frac{a}{b} = \frac{c}{d}$ ,

then  $v_p\left(\frac{a}{b}\right) = v_p\left(\frac{c}{d}\right)$ . That is, the

definition of  $v_p$  does not depend on how we write fractions.

**Exercise 6:** Compute each of the following:

(a)  $v_5(400)$

(b)  $v_7(902)$

(c)  $v_2(621)$

(d)  $v_3\left(\frac{123}{456}\right)$

$$(d) v_3(48)$$

$$(e) v_5\left(\frac{180}{3}\right)$$

Prop For any rational numbers  $x, y$ ,

(1) If  $x$  has  $p$ -adic expansion

$$x = \sum_{i=-N}^{\infty} a_i p^i$$

with  $a_{-N} \neq 0$ , then  $v_p(x) = -N$ .

$$(2) v_p(xy) = v_p(x) + v_p(y).$$

$$(3) v_p(x+y) \geq \min \{v_p(x), v_p(y)\}.$$

Exercise 7 : Prove the Proposition.

Def For any rational number  $x$ , the  $p$ -adic

absolute value of  $x$  is

$$|x|_p := p^{-v_p(x)}$$

where we interpret  $|0|_p = p^{-\infty} = 0$ .

This allows us to measure distances  $p$ -adically:

$$\text{dist}_p(x, y) := |x - y|_p.$$

Informally,  $x$  and  $y$  are  $p$ -adically "close"

if  $x - y$  is highly divisible by  $p$

(and  $x$  is "small" if it is highly divisible by  $p$ ).

Exercise 8: Compute  $|x|_p$  for each number

## m Exercise 6.

**Prop** Fix  $p$  and let  $x, y$  be rational.

(1)  $|x|_p = 0$  if and only if  $x = 0$ .

(2)  $|xy|_p = |x|_p |y|_p$ .

(3)  $|x+y|_p \leq |x|_p + |y|_p$ . In fact,

$$|x+y|_p \leq \max\{|x|_p, |y|_p\}.$$

This says  $|\cdot|_p$  is an absolute value function on the field  $\mathbb{Q}$  of rationals.

(4)  $|1|_p = 1$  and  $|-1|_p = 1$ .

(5)  $|n|_p \leq 1$  if and only if  $n \in \mathbb{Z}$ .

Next time :  $p$ -adic analysis.



