

## Lecture 15.1

Last time:

- A ( $p$ -adic) coherent sequence is a sequence of integers  $(x_n)$  satisfying
  - \*  $0 \leq x_n \leq p^n - 1$
  - \*  $x_n \equiv x_{n-1} \pmod{p^{n-1}}$ .
- The  $p$ -adic valuation of  $\frac{a}{b} \in \mathbb{Q}$  is
$$v_p\left(\frac{a}{b}\right) = v_p(a) - v_p(b)$$
where  $v_p(a) = n$  if  $p^n | a$  but  $p^{n+1} \nmid a$ ,  
and  $v_p(0) = \infty$ .
- The  $p$ -adic absolute value  $|\cdot|_p$  is defined

$$\text{as } |x|_p := p^{-v_p(x)}.$$

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This allows us to measure distances  $p$ -adically:

$$\text{dist}_p(x, y) := |x - y|_p.$$

Informally,  $x$  and  $y$  are  $p$ -adically "close"

if  $x - y$  is highly divisible by  $p$

(and  $x$  is "small" if it is highly divisible by  $p$ ).

Prop For any rational numbers  $x, y,$

(1) If  $x$  has  $p$ -adic expansion

$$x = \sum_{i=-N}^{\infty} a_i p^i$$

with  $a_{-N} \neq 0$ , then  $v_p(x) = -N$ .

(2)  $v_p(xy) = v_p(x) + v_p(y)$ .

(3)  $v_p(x+y) \geq \min \{v_p(x), v_p(y)\}$ .

**Prop** Fix  $p$  and let  $x, y$  be rational.

(1)  $|x|_p = 0$  if and only if  $x = 0$ .

(2)  $|xy|_p = |x|_p |y|_p$ .

(3)  $|x+y|_p \leq |x|_p + |y|_p$ . In fact,

$$|x+y|_p \leq \max \{|x|_p, |y|_p\}.$$

This says  $|\cdot|_p$  is an absolute value function

on the field  $\mathbb{Q}$  of rationals.

(4)  $|1|_p = 1$  and  $|-1|_p = 1$ .

(5)  $|n|_p \leq 1$  if and only if  $n \in \mathbb{Z}$ .

Exercise 1: Prove the Proposition.

With a notion of distance, we also get a new notion of convergence.

**Def** Fix an absolute value function  $|\cdot|$ . A sequence of rational numbers  $(x_n)$  converges with respect to  $|\cdot|$  if for every  $\varepsilon > 0$ , there's an  $N \geq 1$  such that for all  $n \geq N$ ,

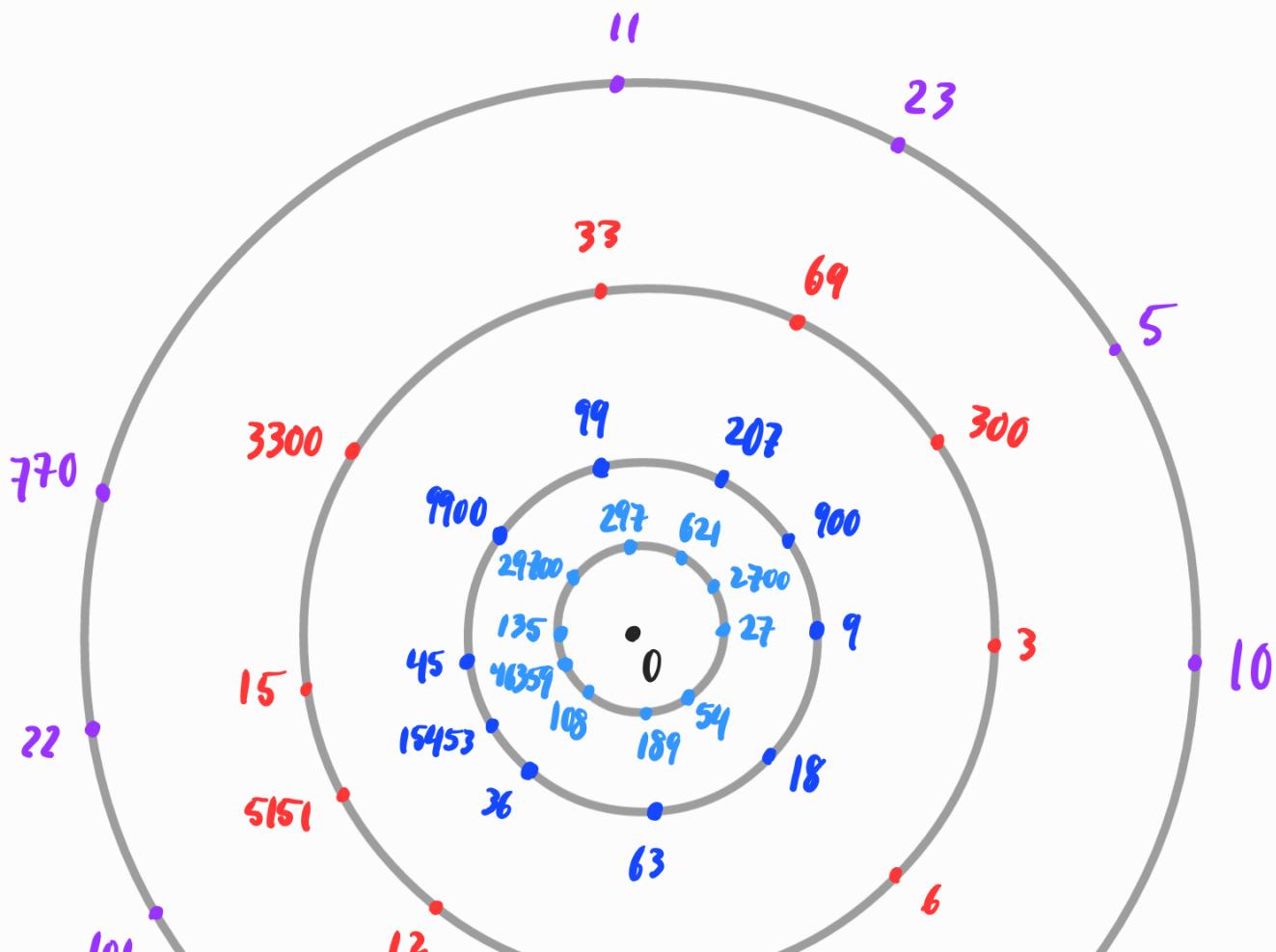
$$|x_n - L| < \varepsilon$$

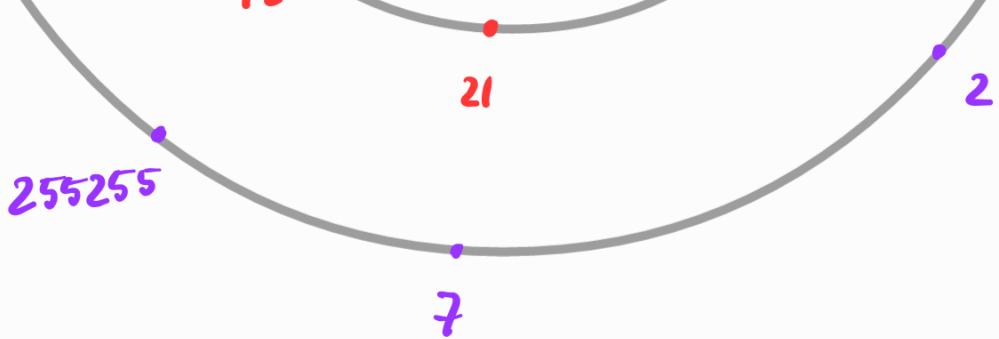
for some  $L \in \mathbb{Q}$ , called the limit of  $(x_n)$ ,

written  $L = \lim_{n \rightarrow \infty} x_n$ .

Remark: There is an absolute value called the trivial absolute value, defined by

$$|x|_0 = 0 \quad \text{for all } x \in \mathbb{Q}.$$





Circles in the 3-adic system

**Theorem (Ostrowski)** Every nontrivial absolute value on  $\mathbb{Q}$  is, up to rescaling\*, one of the  $p$ -adic absolute values  $|\cdot|_p$  or the usual absolute value  $|\cdot| = |\cdot|_\infty$ .

$$|\cdot|_\infty = \begin{cases} x, & x \geq 0 \\ -x, & x < 0. \end{cases}$$

\* "Rescaling"  $|\cdot|_1$  means defining a new absolute value  $|\cdot|_2$  by

$$|\cdot|_2 = |\cdot|_1^a \text{ for some real number } a > 0.$$

This has the property that convergent sequences in  $| \cdot |_1$  are the same as convergent sequences in  $| \cdot |_2$ .

Theorem (Product Formula) For any nonzero

rational number  $x$ ,

$$\prod_{p \leq \infty} |x|_p = 1.$$

Idea of proof: If  $x = q$  is prime itself,

$$|x|_p = \begin{cases} 1, & p \neq q, \infty \\ \frac{1}{q}, & p = q \\ q, & p = \infty. \end{cases}$$

□

## Exercise 2 : Verify the Product Formula

for each  $x \in \mathbb{Q}$ .

(a)  $\frac{400}{1}$

(b)  $\frac{902}{1}$

(c)  $\frac{621}{1}$

(d)  $\frac{123}{48}$

(e)  $\frac{180}{3}$

Next, we need to identify which sequences of rational numbers "should" converge with respect to  $|\cdot|_p$  and then enlarge  $\mathbb{Q}$

to include the "limits" of such sequences.

**Def** A sequence  $(x_n)_{n \in \mathbb{Q}}$  is called a Cauchy sequence if for every  $\epsilon > 0$ , there's some  $N \geq 1$  such that for all  $n, m \geq N$ ,

$$|x_n - x_m| < \epsilon.$$

Informally, Cauchy sequences "want to converge" because their terms eventually become close together.

**Exercise 3:** Show that every convergent

Sequence is Cauchy.

**Ex** ① The sequence

$$(x_n) = (1, 1.4, 1.41, 1.414, 1.4142, 1.41421, \dots)$$

is an example of a Cauchy sequence

that does not converge with respect to  $\|\cdot\|_\infty$ .

In the real numbers however, it converges

to  $\sqrt{2}$ .

**Def** The completion of  $\mathbb{Q}$  with respect

to an absolute value  $| \cdot |$  is the set  
of equivalence classes of Cauchy sequences  
in  $\mathbb{Q}$  with respect to  $| \cdot |$ , where two  
sequences  $(x_n)$  and  $(y_n)$  are equivalent

if  $\lim_{n \rightarrow \infty} |x_n - y_n| = 0$ .

Exercise 4: (a) Check that this is an  
equivalence relation on Cauchy sequences.

(b) Show that for any equivalence class

$x = [(x_n)]$ , there is some  $N \geq 1$  such

that for all  $n, m \geq N$ ,  $|x_n| = |x_m|$ .

(c) Define  $|x| := |x_N|$  for  $N$  as above

and check that this doesn't depend on

the sequence  $(x_n)$  representing  $x$ .

For  $|\cdot| = |\cdot|_\infty$ , the completion of  $\mathbb{Q}$

is precisely the real numbers,  $\mathbb{R}$ .

For a prime  $p$ , the completion of  $\mathbb{Q}$  at

$|\cdot|_p$  is called the field of  $p$ -adic

numbers,  $\mathbb{Q}_p$ .

Much like with  $\mathbb{R}$  the rationals  $\mathbb{Q}$

form a dense subset of each  $\mathbb{Q}_p$ , i.e.

there is a one-to-one map

$$\mathbb{Q} \hookrightarrow \mathbb{Q}_p$$

$$x \mapsto [(x, x, x, \dots)]$$

such that every  $p$ -adic number is

arbitrarily close to some  $x \in \mathbb{Q}$ .

**[Ex]** ② In Lecture 14.1, we constructed

two coherent sequences

$$(x_1, x_2, x_3, x_4, \dots) = (2, 7, 57, 182, \dots)$$

$$(y_1, y_2, y_3, y_4, \dots) = (3, 18, 68, 443, \dots)$$

with the following 5-adic expansions:

$$(x_n) \rightarrow \dots + 1 \cdot \cancel{125} + 2 \cdot \cancel{25} + 1 \cdot 5 + 2 \cdot 1 = \dots 1212$$

$$(y_n) \rightarrow \dots + 3 \cdot \cancel{125} + 2 \cdot \cancel{25} + 3 \cdot 5 + 3 \cdot 1 = \dots 3233.$$

These are each Cauchy sequences with respect

to  $\|\cdot\|_5$ , so they determine 5-adic

numbers  $x = [(x_n)]$  and  $-x = [(y_n)]$

which are both solutions to

$$x^2 + 1 = 0$$

in  $\mathbb{Q}_5$ .

**Exercise 5 :** Show that  $x^2 + 1 = 0$  does not have a solution in  $\mathbb{Q}_7$ . Can you guess the primes  $p$  for which  $x^2 + 1 = 0$  will have a solution in  $\mathbb{Q}_p$ ?

**Def** The  $p$ -adic integers are the subset

$$\mathbb{Z}_p = \left\{ x \in \mathbb{Q}_p : |x|_p \leq 1 \right\}.$$

In terms of the  $p$ -adic valuation,

$$x \in \mathbb{Z}_p \iff v_p(x) \geq 0.$$

This makes part of our number/function analogy clear:

$p$ -adic integer

$$\sum_{i=0}^{\infty} a_i p^i$$

power series

$$\sum_{i=0}^{\infty} a_i x^i$$

$p$ -adic number

$$\sum_{i=-n}^{\infty} a_i p^i$$

Laurent series

$$\sum_{i=-n}^{\infty} a_i x^i$$

If  $i = -n$  is the smallest index for which  $a_i \neq 0$ , then

$$v_p\left(\sum_{i=-n}^{\infty} a_i p^i\right) = -n.$$

More importantly,  $\mathbb{Z}_p$  is exactly the

set of all  $p$ -adic integers in  $\mathbb{Z}$

set of  $p$ -adic coherent sequences in  $\mathbb{Z}$ .

Exercise 6: Prove this!

To summarize:

$$x \in \mathbb{Z}_p \longleftrightarrow (x_n) \subseteq \mathbb{Z} \text{ coherent}$$
$$x_n = \sum_{i=0}^n a_i p^i$$


Next time: applications of  $p$ -adic numbers.