

# Moduli of Wildly Ramified Covers of Curves

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some joint work in progress with V. Thakre

## Introduction

over  $\mathbb{C}$



over other fields/rings



?



The key in many situations is that structures (varieties, schemes, algebraic groups) have a rich topology over  $\mathbb{C}$ , which they lack over other fields/rings.

In arithmetic geometry, we want to leverage the geometry of these spaces to solve difficult counting problems

over  $\mathbb{Q}$ ,  $\mathbb{F}_q$ ,  $\mathbb{Q}_p$ ,  $\mathbb{Z}$ ,  $\mathbb{Q}(\sqrt{5})$ ,  $\mathbb{F}_q((t))$ , etc.

Many ways to replace the usual tools  
from topology with algebraic tools,  
including:

(1) topological covers  $\rightsquigarrow$  étale covers

(2) fundamental group  $\pi_1^{\text{top}}(X)$   $\rightsquigarrow$  étale fundamental group  $\pi_1^{\text{ét}}(X)$

(3) singular/de Rham cohomology  $\rightsquigarrow$  étale /  $\ell$ -adic cohomology

(4) loops, higher  
homotopy groups,  
etc.  $\rightsquigarrow$  ???


I'll just focus on (1) and (2)  
for this intro.

Recall:  $\pi_1^{\text{ét}}(U)$  is a profinite group  
whose finite quotients correspond to  
finite étale covers  $V \rightarrow U$ .

Some examples over  $\mathbb{C}$ :

  $\pi_1^{\text{ét}}(\mathbb{P}_{\mathbb{C}}^1) = 1$  (Riemann sphere  
is simply connected)

  $\pi_1^{\text{ét}}(\mathbb{A}_{\mathbb{C}}^1) = 1$  (no unram. covers  
of affine line)



$$\pi_1^{\text{ét}}(A_{\mathbb{C}} \setminus \{0\}) = \widehat{\mathbb{Z}} = \varprojlim \mathbb{Z}/n\mathbb{Z}$$

In general, if  $U = X \setminus \{x_1, \dots, x_n\}$  is a punctured Riemann surface, then

$$\pi_1^{\text{ét}}(U) \cong \widehat{\pi_1^{\text{top}}(\Sigma_{g,n})}$$

However, over a field  $k$  of characteristic

$\neq \text{char}(k)$

$p > 0$ , things are not so nice...

$$\pi_1^{\text{ét}}(\mathbb{P}_k^1) = 1 \quad \left( \begin{array}{l} \text{the projective line is} \\ \text{still "simply connected"} \end{array} \right)$$

$$\pi_1^{\text{ét}}(A_k^1) = \dots$$

By a theorem of Raynaud (1994), we know the finite quotients of  $\pi_1^{\text{ét}}(A_k^1)$ .

**Key idea:** étale covers of  $A^1$  come

from ramified covers  $Y \rightarrow \mathbb{P}^1$  that

are branched at  $\infty$ .

When the isotropy subgroup of  $Y \rightarrow \mathbb{P}^1$

at a point over  $\infty$  has order divisible  
by  $p$ . we say the cover is **wildly**  
**ramified** (or just **wild**).

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## Artin-Schreier Covers of Curves

The basic building blocks of the wild  
part of  $\pi_1^{\text{ét}}(A')$  are the  $\mathbb{Z}/p\mathbb{Z}$   
quotients, which come from the  
**Artin-Schreier covers of  $\mathbb{P}^1$** .

In general, a (ramified) cover of curves

$$Y \longrightarrow \mathbb{P}^1$$

corresponds to a (ramified) field extension

$$k(Y)/k(t) =: L/K.$$

An **Artin-Schreier cover** is one that

induces a Galois extension  $L/K$

with  $\text{Gal}(L/K) \cong \mathbb{Z}/p\mathbb{Z}$ .

**Facts:** (1) Every AS cover is given

by an equation  $y^p - y = f(t)$ .

(2) The integer  $j = \min \{ \text{ord}_\infty(f) \mid \text{all such } f \}$ ,



the ramification jump of  $Y \rightarrow \mathbb{P}^1$ ,

is an invariant of the cover.

(3) In local coordinates,  $Y \rightarrow \mathbb{P}^1$

is given by  $y^p - y = t^{-j}$

with  $pt^j$ , as long as  $k$  is perfect.

(4) The genus of  $Y$  (as an alg. curve)

is  $g(Y) = \frac{(p-1)(j-1)}{2}$ .

(5) Work of Pries and others describes

the deformation theory of AS covers

of  $\mathbb{P}^1$ .

(6) ... ..

(6) In my thesis, I relate Artin-Schreier covers of curves (including  $Y \rightarrow X$ ,  $X \neq \mathbb{P}^1$ ) to the theory of stacky curves by way of Artin-Schreier root stacks.

Ex Assume  $k$  is perfect and

consider  $Y : y^p - y = \frac{1}{t^p}$

$$\downarrow$$

$\mathbb{P}^1$



Expanding on (3), if  $k$  is not perfect, work of K. Kato and Thakre provide a preferred  $f \in K$  for the local equation

$$Y : y^p - y = f(t)$$

(called "best  $f$ ") whose pole order at  $\infty$  coincides with the Swan

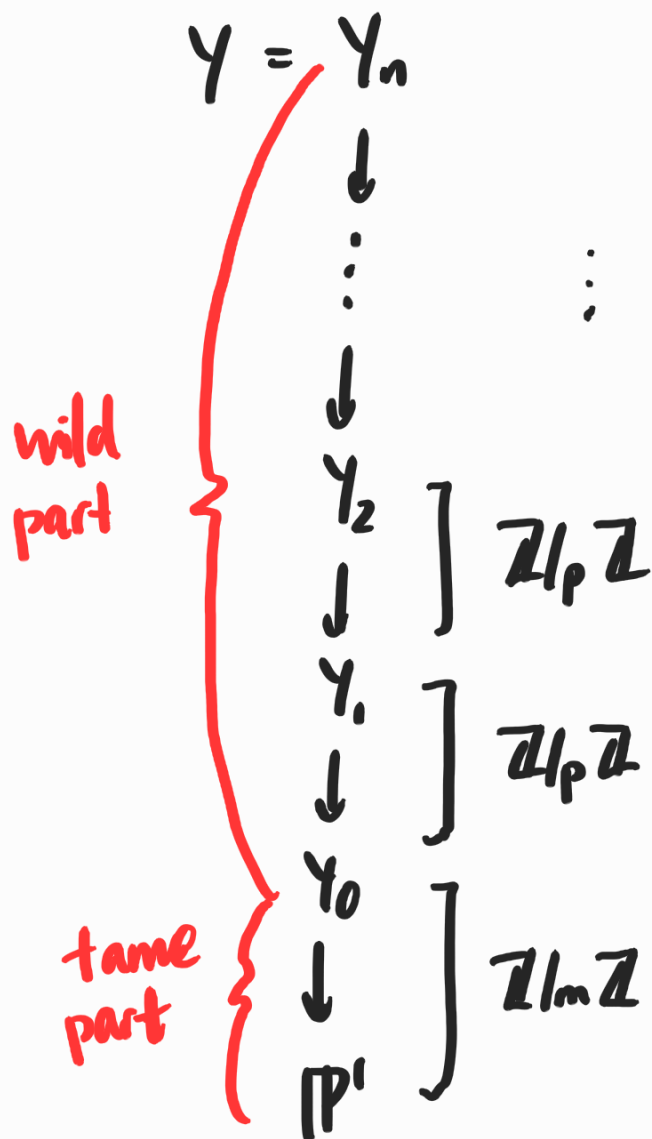
conductor of  $Y/\mathbb{P}^1$  at  $\infty$ .

More generally, if  $Y \rightarrow \mathbb{P}^1$  is

a  $G$ -cover, i.e.  $\text{Gal}(L/K) \cong G$ ,

then there is a tower of

covers



where  $G \cong \mathbb{Z}/p^n\mathbb{Z} \times \mathbb{Z}/m\mathbb{Z}$  for  
p.t.m.

A little more about ramification  
jumps, Swan conductors, etc. :

$$j := \min \{ \text{ord}_x(f) \mid y^p - y = f \}$$

= break in the ramification filtration

$$G \supseteq G_0 \supseteq G_1 \supseteq G_2 \supseteq \dots$$

$$G_i = \{ \sigma \in G \mid v\left(\frac{\sigma y}{y} - 1\right) \geq i \}$$

$$Sw := \frac{pj}{e}$$

Both generalize to any  $G$ -cover

$Y \rightarrow \mathbb{P}^1$  (and Sw generalizes

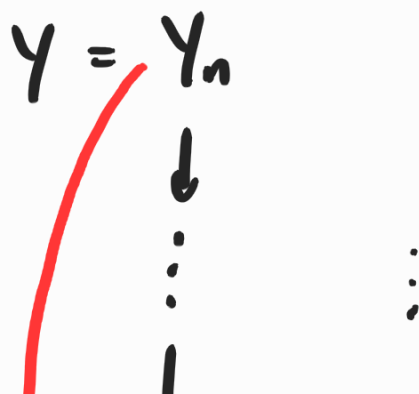
much, much further — cf. the

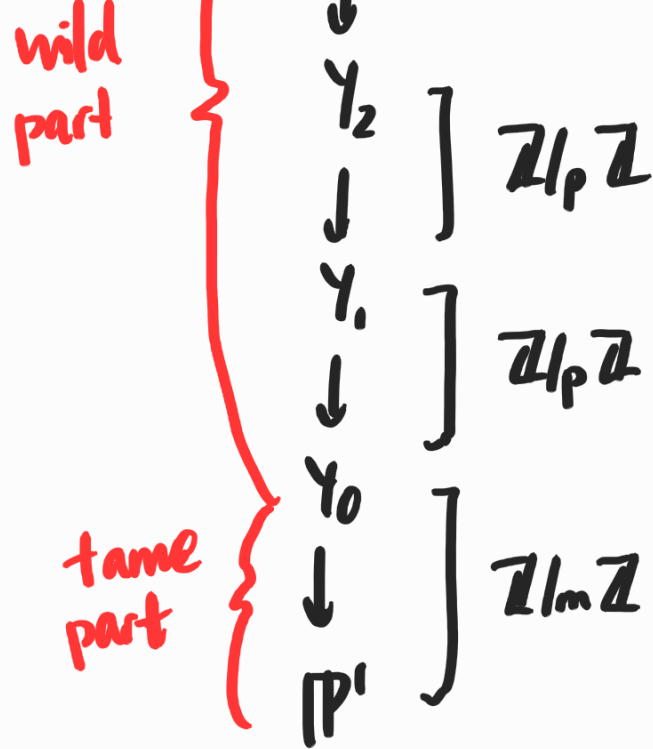
work of Kato, Saito, etc.)

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## General Wild Covers

Recall the tower of curves





where  $G \cong \mathbb{Z}/p^n \mathbb{Z} \times \mathbb{Z}/m \mathbb{Z}$  for  
 $p \nmid m$ .

One can generalize  $j$  to a  
 sequence of ramification jumps

$$j_1, j_2, \dots, j_n$$

where  $j_\ell$  is the  $\ell$ th break



in the filtration

$$G \supseteq G_0 \supseteq G_1 \supseteq G_2 \supseteq \dots$$

$$G_i = \left\{ \sigma \in G \mid v\left(\frac{\sigma y}{y} - 1\right) \geq i \right\}$$

↙  
 $y =$

This definition is clumsy to work

with (e.g. doesn't play nicely

with subs and quotients simultaneously)

(Assume  $m=1$ , i.e.  $G = \mathbb{Z}/p^n\mathbb{Z}$ .)

More elegant description: by

Artin - Schreier - Witt theory, our

extension  $L/K := k(Y)/k(t)$

is of the form

$$L = K(\gamma^{-1}(f))$$

where  $f$  is a Witt vector-valued

rational function  $f: \mathbb{P}^1 \dashrightarrow \mathbb{W}_n$ .

As in the Artin - Schreier case,

étale  $\mathbb{Z}/p^n\mathbb{Z}$ -covers of  $\mathbb{A}^1$  come

from (wildly) ramified covers

of  $\mathbb{P}^1$  branched at  $\infty$ ,

Solution (Garuti 1999): there exists

a projective compactification

$$\overline{W}_n \supseteq W_n$$

such that rational maps

$$f: X \dashrightarrow W_n$$

correspond to regular maps

$$X \longrightarrow \overline{W}_n.$$

Garuti shows that the Swan conductor of  $Y \rightarrow \mathbb{P}^1$  can be computed

geometrically (when  $K$  is perfect):

$$\begin{array}{ccc} Y & \longrightarrow & \overline{W}_n \\ \downarrow & \lrcorner & \downarrow \\ \mathbb{P}^1 & \longrightarrow & \underline{W}_n \end{array}$$

Work in progress w/ V. Thakre:

to be done. Garuti's work to the case

Extend Garth's work to the case  
when  $k$  is imperfect, using a  
generalization of "best  $f$ " for  
Witt vectors.

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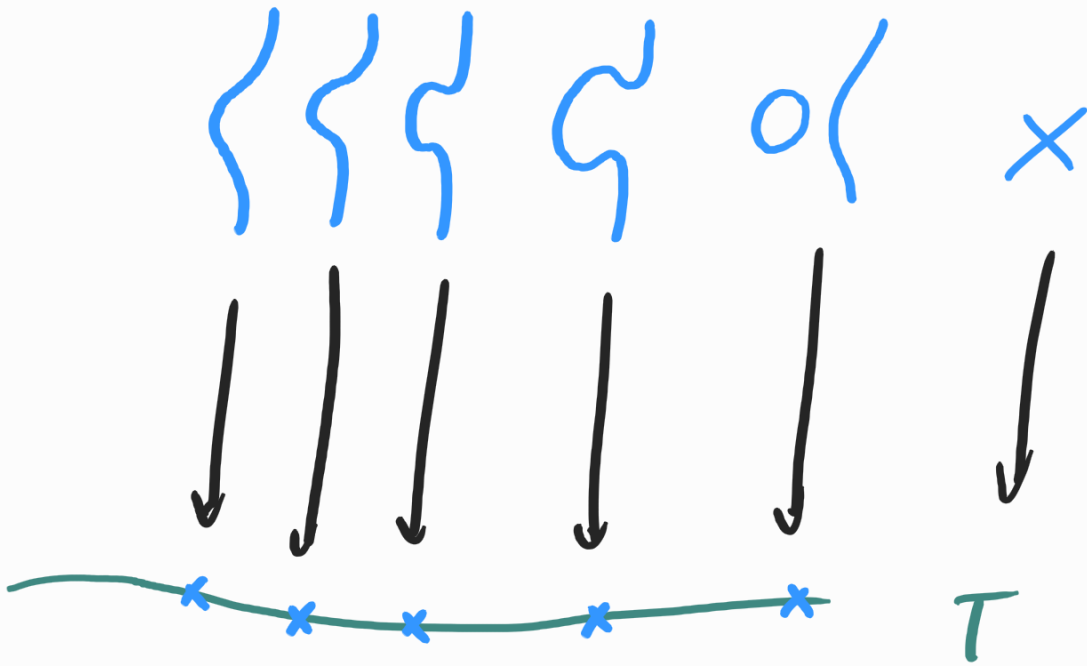
## Moduli of Curves

Suppose we want to study these  
covers  $Y \rightarrow \mathbb{P}^1$  in families:

- a family of curves over a  
scheme  $T$  (or a  $T$ -curve)  
is a flat morphism

$$X \rightarrow T$$

such that each fibre  $X_t$  is  
a (smooth projective) curve.



• a family of covers of curves

(or a cover of  $T$ -curves) is

a commutative triangle

$$Y \longrightarrow X$$

$T$

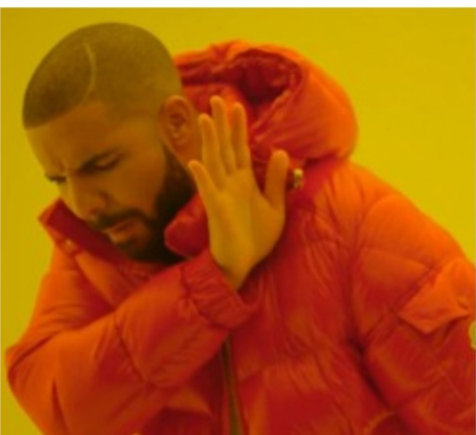
where all the fibres  $Y_t \rightarrow X_t$   
are covers of curves.

Ex ①  $M_g$

②  $P_d$

③  $ASW_g$

④  $ASWcov_g$



Studying  
objects up  
to isomorphism

moduli  
spaces



Remembering  
the  
isomorphisms

moduli  
stacks

Let  $ASWcov_g^j \subseteq ASWcov_g$  denote the  
moduli stack of ASW covers of  $\mathbb{P}^1$   
with genus  $g$  and ramification  
jump sequence  $j = (j_1, \dots, j_n)$ .

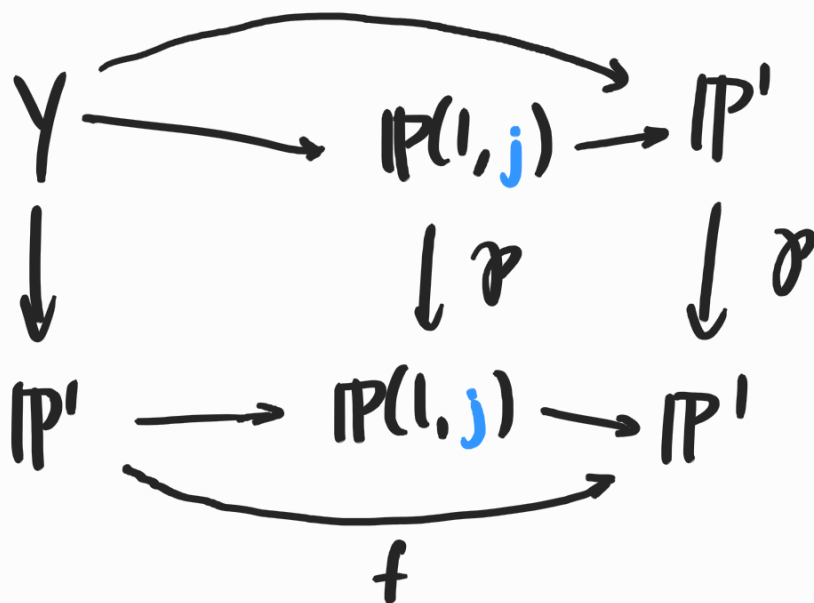
That is,  $ASWcov_g^j(T)$  is the  
groupoid of  $T$ -covers  $Y \xrightarrow{\varphi} \mathbb{P}^1$  with:

- $g(Y) = g$



- $\psi_t$  is branched at  $\infty$  with ramification jumps  $j_1, \dots, j_n$ .

For  $n=1$  (AS covers), the parts of  $\text{AScov}_g^j(T)$  are classified by maps to a weighted projective line:



More generally, there is a stacky  
compactification

$$\overline{W}_n(j_1, \dots, j_n) \rightarrow \overline{W}_n \supseteq W_n$$

Work in progress: use  $\overline{W}_n(j_1, \dots, j_n)$

to describe points of  $ASW_{cov}^j$ .

Thanks for your attention!

Questions?